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#### Certification under 37 CFR 1.8(a)

#3

Chereby sertify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with The United States Postal Service with sufficient postage as first class mail in an envelope addressed to The Assistant Commissioner for Patents, Washington, D.C. 20231 on June 14, 2000.

Richard J. Streit

Name

Signature

DOCKET: CU-2140

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Jan RAA et al

SERIAL NO: 09/511,582

Group Art Unit: 1643

FILING DATE: February 23, 2000

TITLE: NOVEL, NON-ANTIGENIC, MUCOSAL ADJUVANT

FORMULATION WHICH MODULATES THE EFFECTS OF SUBSTANCES, INCLUDING VACCINE ANTIGENS, IN CONTACT WITH

MUCOSAL BODY SURFACES

The Assistant Commissioner for Patents

Washington, D.C. 2023

Attention: Box Missing Parts

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION & SUBMITTAL OF COMBINED DECLARATION & POWER OF ATTORNEY

Dear Sir:

This is in response to the notice dated May 1, 2000 to file missing parts of application, a copy of which is attached.

Applicant submits herewith the original Combined Declaration & Power of Attorney.

Also enclosed is check in the amount of \$65, based on the small entity status of the applicant (Verified Small Entity Statement being filed simultaneously herewith), to cover the government fee for late filing of the Combined Declaration & Power of Attorney. Should any additional fee be deemed necessary, the Commissioner is authorized to charge our Deposit Account No. 12-0400.

-2-

A duplicate copy of this request is attached.

Resp ctfully submitted,

June 14, 2000

Date

/21

Attorney for Applicant

Richard J. Stfeit, Reg. 25765 c/o Ladas & Parry 224 South Michigan Avenue Chicago, Illinois 60604 (312) 427-1300 DOCKET: CU-2140

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LICANT:

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MUCOSAL BODY SURFACES

The Assistant Commissioner for Patents

Washington, D.C. 2023I

Attention: Box Missing Parts

#### SUBMITTAL OF STATEMENT CLAIMING SMALL ENTITY STATUS

Dear Sir:

Attached herewith is a Statement Claiming Small Entity Status in connection with the above-identified application.

Respectfully submitted,

June 14, 2000

Date

/23

Attorney for Applicant

Richard J. Streit, Reg. 25765

c/o Ladas & Parry

224 South Michigan Avenue

Chicago, Illinois 60604

(312) 427-1300







# UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/511,582

02/23/2000

Jan Raa

CU-2140 TJK

Timothy J Keefer Ladas & Parry 224 South Michigan Avenue Chicago, IL 60604



Date Mailed: 05/01/2000

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, how ver, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

**Customer Service Center** 

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/21/2000 JADD01

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